



# Advances in Adaptive Control Methods

## Nhan Nguyen (NASA Ames Research Center)

### Constraint-Based Adaptive Control - Optimal Control Modification

**Objective**

- Introduces notion of **constraint-based adaptive control** that combines adaptive control with optimal control to achieve constrained error minimization.
- Develops robust **optimal control modification** adaptive law that **enforces linear quadratic constraints**.

**Technical Challenges**

- Persistent excitation (PE)** can adversely affect robustness of adaptive control due to high-frequency input signals.
- Nonlinear input-output mapping** of adaptive control can result in unpredictable performance.

**Technical Approach**

- Minimize LQ cost function  $J = \lim_{T \rightarrow \infty} \frac{1}{2} \int_0^T [e(t) - \Delta(t)]^T Q [e(t) - \Delta(t)] dt$  subject to error dynamics  $\dot{e}(t) = A_m e(t) + B [\Theta^T(t) \Phi(x(t)) - \epsilon(x(t))]$
- Approach based on application of Pontryagin's Minimum Principle
- Optimal Control Modification Adaptive Law**

$$\dot{\Theta}(t) = -\Gamma \Phi(x(t)) [e^T(t) P - \nu \Phi^T(x(t)) \Theta(t) B^T P A_m^{-1}] B$$

Lyapunov stability proof shows that the adaptive law is stable and tracking error is UUB.

- Modification term proportional to persistent excitation (PE)** to counteract adverse effects of PE

**Example**

$$\begin{aligned} \dot{x} &= -x + 2u - 0.1y \\ \dot{y} &= 100y + 100u = 7x \\ r &= 1 + 0.1 \sin 10t \\ u &= -0.5x + r - w_{12}x - w_{21}r \end{aligned}$$

1<sup>st</sup>-order plant with 2<sup>nd</sup>-order unmodeled dynamics and input at the same frequency as that of unmodeled dynamics

**Robustness to Unmodeled Dynamics**

**Asymptotic Input-Output Linear Mapping**

**Asymptotic Linearity for Linear Uncertainty**

- Fast adaptation condition  $\Phi^T(x(t)) \Gamma \Phi(x(t)) \gg \|A_m\| \gg 1$
- Asymptotic behavior  $B \Theta^T(t) \Phi(x(t)) \rightarrow \frac{1}{\nu} P^{-1} A_m^{-1} P e(t)$
- Linear tracking error dynamics for linear uncertainty  $\dot{e}(t) = -P^{-1} \left[ \left( \frac{1+\nu}{2\nu} \right) Q - \left( \frac{1-\nu}{2\nu} \right) S \right] e(t) - B \Theta^T x(t)$
- Adaptive law can be designed to **guarantee stability** for given bound on  $\Phi^T$  using projection operator such that  $A_e = -P^{-1} \left[ \left( \frac{1+\nu}{2\nu} \right) Q - \left( \frac{1-\nu}{2\nu} \right) S \right] + B \Theta^T$  is Hurwitz
- satisfies linear stability margin requirements everywhere inside projection bound  $\Rightarrow$  **certifiable adaptive control**
- Note: e-modification or sigma-modification results in nonlinear error dynamics even for linear uncertainty

**Pilot-in-the-Loop GTM Simulations**

**Pilot-in-the-Loop Advanced Concept Flight Simulator**

10K ft, 250 Kn IAS  
A = 0, B scaled  
Doubled to capture flight director task

**Summary**

- Optimal Control Modification can provide stable fast adaptation to improve tracking
- Asymptotic linearity with fast adaptation can guarantee linear stability for linear structured uncertainty
- Pilot-in-the-loop simulations demonstrate effectiveness of the method

### Adaptive Control of Time-Delay Systems - Time Delay Margin of MRAC

**Objective**

- Develops **stability analysis for time-delay adaptive system** and analytical tool to compute **time delay margin (TDM)** based on **Bounded Linear Stability Analysis**

**Technical Challenges**

- Currently no analytical tool exists to provide non-conservative and practical TDM estimate.

**Technical Approach**

- Input-delay adaptive system**

$$\begin{aligned} \dot{x}(t) &= Ax(t) + B [u(t - t_d) + \Theta^T \Phi(x(t))] & u_{ad}(t) &= \Theta^T(t) \Phi(x) \\ w(t) &= K_x x(t) + K_r r(t) - u_{ad}(t) & \dot{\Theta}(t) &= -\Gamma \Phi(x(t)) e^T(t) P B \end{aligned}$$

- Bounded Linearity Stability** approximates adaptive system as a locally bounded LTI system using time-window analysis

$$\begin{aligned} \dot{\Theta}^T(t) \Phi(x(t)) &= -B^T P e(t) \Phi^T(x(t)) \Gamma \Phi(x(t)) \approx -\gamma B^T P e(t) \\ \gamma &= \frac{1}{T_0} \int_{t_0}^{t_0+T_0} \Phi^T(x(\tau)) \Gamma \Phi(x(\tau)) d\tau > 0 \in \mathbb{R} \end{aligned}$$

$\Rightarrow \dot{u}_{ad}(t) \approx -\gamma B^T P e(t) + \Theta^T(t) \dot{\Phi}(x(t))$

$\gamma$  can be viewed as integral product of adaptive gain and PE value

- Locally LTI approximation of tracking error dynamics

$$\begin{aligned} \begin{bmatrix} \dot{e}(t) \\ e(t) \end{bmatrix} &= C_1 \begin{bmatrix} \dot{e}(t) \\ e(t) \end{bmatrix} - D_1 \begin{bmatrix} \dot{e}(t - t_d) \\ e(t - t_d) \end{bmatrix} + \begin{bmatrix} d_1(t) + d_2(t - t_d) + d_3 \\ 0 \end{bmatrix} \\ C_1 &= \begin{bmatrix} A + B \Theta^T \Phi_1^T & 0 \\ \Gamma & 0 \end{bmatrix} & D_1 &= \begin{bmatrix} A - A_m + B \Theta_1^T \Phi_1^T & B B^T P \\ 0 & 0 \end{bmatrix} \end{aligned}$$

- TDM estimation by matrix measure approach** - system is locally stable if time delay is less than TDM

$$\begin{aligned} \omega_1 &< \bar{\mu}(-jC_1) + \|D_1\| \\ t_{d1} &< \frac{1}{\omega_1} \cos^{-1} \frac{\bar{\mu}(C_1) + \bar{\mu}(jD_1)}{\|D_1\|} \end{aligned}$$

**Matrix Measure Properties**

$$\bar{\mu}(C) = \max_{1 \leq i \leq n} \lambda_i \left( \frac{C + C^T}{2} \right) = \lim_{\epsilon \rightarrow 0} \frac{\|I + \epsilon C\| - 1}{\epsilon}$$

$$\mu(C) \leq \text{Re} \lambda_i(C) \leq \bar{\mu}(C) \quad \text{Im} \lambda(C) \leq \bar{\mu}(-jC)$$

$$\bar{\mu}(C) \leq \|C\|$$

Given  $\dot{z}(t) = Az(t) - BKx(t - t_d)$ ,  $\lambda(A - BK) \in \mathbb{C}^-$

System is stable if  $t_d < \frac{1}{\omega} \cos^{-1} \frac{\bar{\mu}(A) + \bar{\mu}(jBK)}{\|BK\|}$

$$\omega < \bar{\mu}(-jA) + \|BK\|$$

System is stable independent of time delay if  $\bar{\mu}(A) < \|BK\| < -\mu(A)$

**Example**

$$\begin{aligned} \dot{x} &= x + u + \theta^T z \\ \dot{z}_{11} &= -z_{11} + \sin t \\ u &= -2x + \sin t - \theta x \end{aligned}$$

**TDM estimate agrees well with simulation results**

**Summary**

- New analytical method provides non-conservative TDM estimate
- Method can easily be extended to sigma-modification and optimal control modification